Improving systemic success factors in a university to achieve more effective and efficient operations: Using the PrOH modelling methodology

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ABSTRACT

Purpose

The aim of this research is to improve the efficacy of professional support services and teaching processes within a leading UK university so that they align better to changing academic requirements and external market forces, and to do this using a novel systems thinking methodology. A case study is given from a school in a leading UK University.

Methodology

The case uses a novel process modelling methodology known as the Process Orientated Holonic (PrOH) modelling methodology. Abducted rationalisation is used to reflect upon the 4V’s theoretical concept of operational characteristics (volume, variety, variation over time, and visibility). The paper also briefly describes the unique systems thinking principles behind the methodology and its use of abductive rationalisation.

Findings

The methodology and models show that it is advantageous to simultaneously review strategic processes and operational processes because this enables roles, processes, and tasks to be more purposefully redefined and more closely meet endogenous
organisational requirements and exogenous market forces.

**Originality**

This work will interest organisational analysts wishing to use a novel approach to improve strategy and operational processes in higher education and universities. The practical implications of the study are discussed using the 4V’s theoretical concept: volume of throughput, variety of offerings, variation over time, and visibility of processes to students. The international context and implications are outlined.

**KEYWORDS**

Holistic thinking; action research; 4V’s operational characteristics; higher education; operations strategy; language teaching.
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INTRODUCTION

The ethos and culture of higher education institutions in the United Kingdom and many other countries has undergone rapid change over the past 20 years (Sporn, 1996). In this period, higher education has been forced to respond to new government agendas such as the massification of teaching (Edwards, 1998; Gumport, 2000) and the general arrival of more ‘managerial’ professionalism in the higher education arena (Santiago et al., 2006). Academic leaders and frontline staff have had to respond to these developments and manage considerable organisational changes (Jones and Lewis, 1991; Miller, 1995; Trowler, 1998; Pedro, 2009). These changes include creating new visions for higher education and crafting the right culture for new structures and practices to occur (Gumport, 2000; Dill, 1999). These changes are driven by changing economic, financial, and market pressures (Browne, 2010; Kondakci and Van den Broeck, 2009). Leaders in higher education therefore have to pursue the ‘modern imperatives’ of higher education while still preserving the valuable kernels of traditional academic ‘accumulated heritages’ of the past (Kerr, 1987). Such changes have moved current higher education organisations away from being philanthropically focused institutions primarily driven by social and cultural gains towards more commercially focused competitive organisations that are managed as professional businesses.
One area that has seen particularly dramatic change in the United Kingdom over the last decade is the delivery of modern foreign languages (Gumport 2000; Kondakci and van den Broeck, 2009). For more than a decade, the number of students wishing to study modern foreign languages at the degree level in the United Kingdom has been declining.

For example, a government-commissioned report (Footitt, 2005) on the supply of language skills in the United Kingdom discovered a 15% drop in language-degree undergraduates between 1998 and 2002. This situation has been compounded by the fact that fewer UK students are spending part of their degree in non-English speaking countries. A House of Lords Report discovered that the number of UK students taking part in the Erasmus programme fell from a peak of 12,000 in 1994–1995 to 7,539 in 2002–2003 (House of Lords European Committee, 2005). The decline in popularity of UK students wanting to study in non-Anglophone countries was also shown in a study commissioned by the Higher Education Funding Council for England (HEFCE, 2004). Based on responses of staff at 80 universities and 1,200 students, it was also discovered that although the United Kingdom had a high rate of international student mobility, students opted to study in the USA, Canada, Australia and New Zealand, rather than in non-Anglophone countries.

These trends are strategically and operationally worrying for universities providing professional language education services in an increasingly globally competitive sector that is constantly changing and has seemingly shrinking UK government budgets (Milson et al, 1983). For the last decade, it has been a challenge to recruit foreign language students. Therefore, it is now important for foreign language providers to be increasingly competitive in recruiting new international students (McClea and Yen, 2005), to deliver
commercially desirable courses, to attract research funding, and to deliver language
services via efficient and efficacious operational processes (Ahmed et al., 2007; Martz et
al., 2001). This research is based on the premise that such challenges can be met using
practices more akin to those used in the private professional service sector (Johnes et al.,
2017) than in the higher education sector. One way to begin to achieve this is to model
operations and processes and reflect on practices found using known theoretical concepts.
The theoretical concept used in this case is the 4V’s (volume, variety, variation and
visibility) of operations strategy and management (Hayes and Wheelwright 1979a,
1979b; Paton et al., 2011, p.10-11; and Slack et al., 2004, pp 20-26).

The aim of this research is to improve the efficacy of professional and teaching processes
within a leading UK university and to better align these to academic requirements and
external market forces, and to do this using a novel systems thinking methodology. A
case study is conducted using the Process Orientated Holonic (PrOH) modelling
methodology. Abducted rationalisation is used with the process models and case study to
reflect on the theoretical concept of the 4V’s of operational challenges.

Similar studies in other professional service sectors (e.g. accountancy, and architectural
firms) have called for techniques that allow emergent behaviours to surface (Bolisano,
Scarco and Giuman, 2016). In this study, the specific type of soft systems methodology
(SSM) used is known as PrOH modelling. It was chosen because of its ability to facilitate
discourse on emergent (and hidden) properties of strategic and operational systems and
decision-making about those systems.
REFERENCE FRAMEWORK

Hayes and Wheelwright’s (1979a, 1979b) volume and variety conceptual framework and Silvestro et al.’s (1992) adaptions for services are used in this study as a framework to rationalise and explain findings from a theoretical perspective, via abductive logic (Lawson, 1998), and to explain shifts in operational delivery via a conceptual framework. This simple, fundamental, and commonly accepted conceptual framework is core to most operational deliveries and is shown in a generic form in Figure 1.

![Figure 1: Volume and Variety Theoretical Concept of Service Delivery](Adapted from Hayes and Wheelwright, 1979a, 1979b; Silvestro et al., 1992).

The service delivery in focus in this study is that of higher education language education.
At the onset of this study, delivery of language training in the ‘Case Study School’ (known hereafter as ‘CSS’) was considered to be at the top left of this conceptual framework. Professional service management states that this is only suitable for highly premium priced services because they can be costly and complex to deliver. Therefore, this was not an appropriate position for CSS’s language services because they are value-priced and not premium-priced services. With prices unlikely to be increasable because of competition, CSS had to become more operationally efficient and efficacious and move more toward the centre or even to the bottom right of the framework where the volumes of customers (e.g. students) are higher and the variety of offerings are lower. Figure 1 shows a diagonal line of best fit for service characteristics from top left to bottom right, and any services not near this line of best fit are potentially not being delivered correctly. For instance, services in the top right-hand corner are likely to be loss leading and inefficient, and those in the bottom left hand corner are unlikely to reach sustainable economies of scale.

CASE STUDY: A UNIVERSITY SCHOOL IN FLUX

This paper presents a case study that focuses on the academic management of a school of languages and social science. Other such cases (e.g. Clayton, 1993; Doherty, 1993; Newton, 2002; Hodgkinson and Brown, 2003) have been published that have proven to be useful for sharing common experiences about the management of higher education services. The current case study adds to this useful bank of higher education case studies. The CSS in this study is a part of a leading UK university in the UK Midlands, which delivers a variety of language and social science courses at all levels from short
vocational courses to research courses. The majority of students in the school are undergraduates.

Because of a year-on-year decline in student numbers, the CSS had been instructed by the executive of the university to increase the volume of students and improve the quality of educational service provision. A low-volume delivery scenario using inefficient and unpurposive processes could no longer prevail.

Many changes occurred from 2012 to 2014 to try to combat the decline in student numbers. One major change had been to use the ‘bridging’ strategy to restructure the CSS and merge it with another department from another school where student numbers are more buoyant (Gumport, 2000). For instance, the CSS took in the social science and politics groups from another school to increase its volume of students and its ability to deliver a greater variety of course offerings.

Another change was to restructure the taught programmes. This was achieved in the CSS at the undergraduate level by introducing politics and international relations as discrete programmes in the school – this was in addition to them being a part of various European studies degrees and to offering new subjects based around the study of English. The intention was to create an increase in the number of applicants, possibly more than could be accommodated through a ‘buffering’ strategy, so the strongest applicants could be picked (Gumport, 2000). Other new programmes were also offered at the Master’s level, and the number of PhD students was increased in a similar way. The newly reconfigured CSS was then renamed to reflect the widened portfolio of offerings that had a newly
increased social science focus. Together, these activities contributed towards the improved ‘collectivism’ (Ziman, 1996) and ‘professionalism’ of the CSS, giving them a stronger core and critical mass of language and social study courses to draw upon. These changes were pitched at the middle, or tactical, level of university management that is at the school’s dean and senior management team level (as per Santiago et al., 2006). The main initial purpose of these changes was to increase the volume of students.

These changes and expansion occurred over a relatively short period of two years (2012–2014), and the increased numbers placed more burden on the incumbent professional administrative staff, systems, and processes. It also placed a burden on the academic staff, who undertook an increasing number of administration-related duties and non-academic duties. During the academic year 2015–16, it became obvious that the increase in student numbers had outgrown existing administrative professional structures, practices, and processes, and that additional staff was needed. Reactive responses had seen rapid curricula development, resulting in almost double the number of undergraduate and postgraduate programmes being offered by the CSS and in the overall number of students rising. Therefore, despite increasing numbers of students, the variety and complexity of offerings had also stayed high, which was not ideal because costs and delivery remained a challenge. As a result, human resources in the CSS were over-stretched. For instance, although the core administrative team comprised several professional non-academic managers, there was no one person responsible for the whole team’s performance. Changes had been made in the CSS as a result of the bridging and buffering strategies, and additional tasks had been incrementally added to the roles of both professional clerical and academic staff, which led to much frustration because job
roles and responsibilities were not clearly defined. As Higson and Filby (2005, pp.2-3) stated, it is often a waste of time and resources to take up excellent teachers’ and researchers’ time with administrative activities such as recruitment, fundraising, and even student support. The CSS responded to this ambiguity of roles by recruiting new non-academic specialist professional staff, who had the necessary formal qualifications and experience for the tasks they needed to undertake, which was not always the case for academic staff in equivalent roles. These changes increased the commerciality and professionalism of the CSS. Higson and Filby also point out that the days of the ‘amateur administrator’, that is academics working as administrators, were coming to an end because this was often an ineffective and costly way of resourcing such activities (Miller and Higson, 1996, 1999; Higson and Miller, 1997).

Along with increasing the numbers and skill base of administrative staff, the administrative systems, structures, and processes of the whole school were reviewed with a view to meeting new organisational and student (i.e. customer) needs. In a sense, the CSS became the victim of its own success, with more students and course offerings than it could deliver with its current administrative processes, people, and skill base. In response to these new challenges, a working party was established in late 2015 consisting of key members of staff from the CSS, an action research team (with methodological expertise), and the university’s central human resource department.

Action research using the Process Orientated Holonic PrOH modelling methodology was conducted to surface political agendas, address formal lines of authority, consult (and sometimes abate) influential figures in the school, and develop plans for change. For
instance, a particularly sensitive issue was the misalignment between academic
requirements’ delivery and administrative support provision – an ongoing cause of
dissatisfaction and friction among staff – that had begun to negatively affect the quality
of education because of the increasing volume of students. Because the university and
CSS are committed to providing an excellent working environment for staff and a
stimulating learning experience for students, these operational conditions had become
unacceptable. Remedial action was taken during this project, the findings of which were
delivered in early 2016. After much consultation, the findings were implemented.

This action research project took place during the 2015–16 academic year. It is not the
purpose of this project to try and test different types of process modelling approaches but
to select one suitable method and make changes to practice; the reasons for choosing
PrOH modelling methodology are given in the following section on methodology. The
changes recommended in this project started to come into effect during the 2017–18
academic year.

**METHODOLOGY: PROCESS ORIENTATED HOLONIC (PrOH) MODELLING**

Systems approaches have been widely used before to investigate quantitative and
qualitative systemic issues in higher education management (Jantsch, 1972; Sterman,
2000; Strauss and Borenstein, 2015). The Process Orientated Holonic (PrOH) modelling
methodology views organisations as complex adaptive systems and has been developed
over the last decade through various action research projects. Early versions of the
methodology focused on managing information about new product design, concurrent
engineering, computing service provision, export processes, systems engineering, and project management. PrOH modelling is best used to help remove unnecessary process complexity between different functional groups and formulate overall organisational strategies. It is also well suited to investigating processes that are difficult to define because of low throughput volumes and high variation that provide few repeated learning opportunities – such as a change management project in an higher education environment – just as in this case study.

Like PrOH modelling, there are other systems approaches that are also aimed at tackling the ambiguity and complexities of processes in human activity systems by describing chains of causal activities and changes to them. However, these approaches tend to focus on general business problems rather than process and strategic change. To give some examples:

- **Strategic Choice** focuses on managing uncertainty in interconnected decision problems and has been described as ‘planning under pressure’ (Friend and Hickling, 1987).
- **Soft Systems Methodology (SSM)** (Checkland and Scholes, 1990; Bell et al., 2009) deals with general problems (not just business ones), especially when there are conflicting viewpoints.
- **The Viable Systems Model (VSM; Beer, 1985)** deals with management problems in organisations.
- **Journey Making** (Eden and Ackermann, 1998) deals with designing and building a shared commitment to a strategic action plan among key stakeholders.

PrOH modelling has several similarities with these other approaches, such as the naming
of the model/system to give a model a unique recognisable identity, as in both SSM and VSM, and the use of ‘normal’ everyday language to describe systems under observation rather than the use of esoteric coding. The use of everyday language in PrOH modelling is shared with SSM and Journey Making among others. PrOH modelling, like SSM and Journey Making, makes extensive use of validation as an essential means for building consensus among stakeholders in a human activity system.

The principal difference between PrOH and other systems approaches is that PrOH looks specifically at process modelling and change. It also explicitly uses abstraction and enrichment of holistic systems thinking rather than the aggregation and reduction used in more traditional reductionist thinking. This distinguishes PrOH modelling from other process modelling methodologies and makes it particularly useful for this action research project. Clegg (2006, 2007) and Clegg and Shaw (2008) described how PrOH models are constructed and discussed their philosophical background. Other recent examples of PrOH’s use are demonstrated in Clegg et al. (2018), Clegg et al. (2019), Kalaitzi et al. (2019). The PrOH modelling methodology is outlined in Figure 2.
The PrOH modelling methodology in Figure 2 is structured around Deming’s (1986) Plan-Do-Check-Act cycle. For PrOH modelling, this involves

- Scoping the granularity of the model (e.g. Plan)
- Gathering data (e.g. Do)
- Building, enriching, and abstracting the model (e.g. Check)
- Implementing changes (e.g. Act)

These stages were used during the CSS case study to construct a holarchy (i.e. a set of holistic models) of three models. During Methodological Stage 1 (in Figure 2), the model was scoped. During data collection (Methodological Stage 2), process data and associated issues were collected and holons (individual models) were built. The logical structure between the entities and the input-transformation-output information (Juran, 1987) were...
initially collected in a tabular format and then converted into a graphical PrOH model. The graphical model was then presented to an audience to facilitate group-based understanding, validation, and agreement building (in Methodological Stage 3). Methodological Stage 4 was used to make changes to ‘real-world’ CSS processes. This paper does not discuss the construction of these models in detail but does provide guidelines to read them so that readers are able see how they have been instrumental in catalysing changes in the CSS.

PrOH models are made up of bubbles and arrows in a modified form of a semantic network. Verb phrases go on the arrows and noun phrases go in the bubbles, and together, they make up sentences about the process they are describing. Unlike in traditional semantic networks, there are three kinds of bubbles (in this paper, black bubbles represent people, white bubbles represent intangible factors, and grey bubbles represent tangible artefacts in the process – in actual action research, full colour versions are used). The models are always constructed with alternate people and non-people bubbles. This simple nomenclature is shown in Figure 3. For ease of reading, sentences start and finish at the people bubbles.

Figure 3: Bubbles and Arrows Make Up Descriptive Sentences
The PrOH modelling methodology offers a template to ensure that sound philosophical systems thinking fundamentals are built into each and every model. This template is shown in Figure 4. It depicts an individual process model – known in systems thinking terms as a holon (Flood and Jackson, 1991).

Figure 4: Template for a Single PrOH Model

In addition to building on systems thinking constructs, the PrOH model template includes the rigours of input-transformation-output type process analyses (Juran, 1987), and the application and interpretation of this template is the basis of every PrOH model. This
template can be thought of as serving a similar purpose to Checkland’s SSM ‘root
definition’ and ‘conceptual model’ (Checkland and Scholes, 1990) because it helps to
define the essential parts of each individual process model. However, there are three
significant differences. First, the SSM conceptual model is of an ideal system, whereas
the PrOH model is of the actual dynamics of a system under observation. Second, in
SSM, there are multiple root definitions and conceptual models, where each is associated
with a different Weltanschauung (worldview); PrOH has only one model of each system
representing a consensual view. Third, SSM conceptual models are free format, whereas
the PrOH model template mentions both the necessary components and discusses how to
construct the model (as in Figures 3 and 4).

The PrOH modelling methodology can produce process models that contain a great deal
of information on a single page (such as people, artefacts, and activities and their
dynamic relationships), which is an advantage over other alternatives. SSM conceptual
models, for example, include only activities. While data flow and flow chart type
diagrams often spread over many pages to convey the same amount of information.
However, the density of information in a PrOH model can make the cerebral digestion of
the information overwhelming, potentially far in excess of Miller’s ‘magical’ 7±2 items
(Miller, 1956). Therefore, the presentation of PrOH models is best made using an
approach known as ‘storyboarding’. Storyboarding is used in the film industry to depict
sequential scenes or episodes in a film, or used as a form of knowledge representation in
computer systems design (Sakurai et al., 2009). Storyboarding in PrOH modelling is
similarly used to show one scene of a process (system under observation) at a time
(starting with the basic core process statement derived from the template) along with the
associated critical success factors (Rockart, 1979). Other parts or scenes are then added one at a time to build up a whole picture. Simultaneously, previous parts can be faded out so that they are less prominent but still act as a reminder for an audience of stakeholders; Blair et al. (2007) describes storyboarding in modelling in more detail. Storyboarding is used in this case to present the systemic success factors in the CSS.

Often, a single model is not enough to adequately describe the systemic success factors of a whole system, so a set of models that connect serially (describing the whole length of a process) and at different pitches of abstraction and enrichment (describing different systemic success factors at strategic, tactical, and operational levels) are required (Ulleru, 2002). Other systems approaches such as SSM and VSM (Beer, 1985) similarly use the concept of ‘systems within systems’. However, the set of models produced by the PrOH model is known as a holarchy (Jackson, 1984) rather than a hierarchy because it explicitly uses abstraction and enrichment rather than aggregation and reductionism to produce the models; this approach is unique to PrOH modelling and can be read about in detail in Clegg (2006; 2007). This paper focuses on the system under observation (CSS case study) rather than the axioms of the PrOH modelling methodology.

Data were collected by interviewing 21 key stakeholders in the CSS. Interviewees were selected so that multiple tenable viewpoints could be collected and formulated into a model conforming to PrOH rules and guidelines that was a defensible representation of CSS’ systemic success factors. Each interview lasted between one and two hours. The interviews were noted down as annotated flow diagrams and or rich pictures (the latter concept originated in SSM). Each interview was validated and signed off on by the
interviewee at the end of the interview as an accurate representation of his or her knowledge. These interviews served as raw data for the PrOH models.

After being converted into a form compatible with the PrOH model template (to ensure holistic thinking had been applied to the processes), the final PrOH models were presented back to a wider forum of people representing the whole of the CSS in an open ‘town-hall’-type meeting. This was to gain further validation and buy-in from other people who were directly involved in implementing changes and those who would be affected by the changes. Validation sessions such as these are a common and essential step in approaches such as SSM or Journey Making, but the use of wider group-based validation is less common and is always done with PrOH modelling because it helps to consolidate the multiple tenable viewpoints among those potentially affected by any resulting changes. The storyboarding potential of the PrOH modelling methodology has been developed especially for this large-scale validation, which gives it some advantages over and above other systems modelling approaches. Because this exercise was part of an official consultative exercise involving the university’s human resource department, it was necessary that these wide-scale buy-in activities occurred to equalise knowledge so the exercise was seen as fair. Because this wide-scale validation is a part of PrOH modelling, it was one of the reasons for using this approach.

Clark and Newman (1997) and Thorne and Cuthbert (1996) highlighted the value of using such methods in higher education organisations. This case study demonstrates how the PrOH modelling methodology, which can assimilate the complexities and nuances of changes in higher education organisations, is both appropriate and timely.
RESULTS: HOLISTIC MODELS FOR CHANGE

This case study involved a holarchical set of models consisting of one tactically pitched model representing the views of the CSS dean and senior management team (called ‘CSS Deliver an Educational Experience’). It shows the overall customer-facing process starting by taking newcomers to the university as an input and producing educated students as an output. This model, pitched at the dean and senior management team level, was further enriched by producing two lower-level, or lower-pitched, operational models called

- ‘CSS make CSS Place Offers’ – Describing activities that occur before and during recruitment
- ‘CSS Complete CSS Educational Experience’ – Describing activities occurring after the students have been recruited and are in attendance.

A meta or strategic model linking the CSS to other schools (e.g. the business school or the science and engineering school) and the overall university strategy could also have been produced through abstraction if required.

Although hierarchical structures are important for regulating academic work in the ‘real world’, hierarchical modelling of systems under observation tends to be dogmatic, mechanistic, and sometimes uninspiring. In contrast, PrOH modelling creates holarchies; in this actin research project three models create a holarchy (a tactical system that contains two operational sub-systems) as depicted in Figure 5. This allows differently pitched levels of management thinking to be produced simultaneously so that both...
tactical and operational views can be considered together, which Nyhagen and Baschung (2013) deemed necessary. Because PrOH modelling does this holarchically rather than hierarchically, it is possible to claim that PrOH models are able to inspire more innovative thinking and gain greater buy-in from stakeholders.

![Figure 5: Structure of the CSS PrOH Model Holarchy](image)

The high-level PrOH model of ‘CSS Deliver an Educational Experience’ is shown in Figure 6; it gives a tactically pitched overview of CSS processes and how they link to other strategically pitched university-wide processes. It should be noted that all models were storyboarded. The storyboard for the tactically pitched systems model in Figure 6 is, for reasons of brevity, not shown in this paper, but the storyboards for both lower-pitched operational sub-systems models are presented in detail.
Some systemic success factors associated with the tactical-level view in Figure 6 are

- Each academic subject group (ASG) in the CSS creates an administrative workload that needs to be better aligned to support staff provision and achieve a clearer demarcation of roles.

- Education provision needs to be increasingly thought of as product/service delivery.

- Applications for the CSS come from many routes other than just the CSS. An overall university experience needs to be presented for integrated courses, and the interfaces with these other parts of the university need to be improved.
• Annual planning horizons should be declared and used by all, increasing the use of partial, provisional, and firmed-up versions of timetables where possible. These need to be driven by a university-wide calendar.

• CSS policy and procedures must be available to all staff and students.

• Decision-making should be devolved to the lowest possible level of staff that has the authority for decision-making responsibilities, including budgetary devolution where appropriate.

• External promotion and marketing of the CSS must set the right expectations for potential students.

• IT systems are fragmented, and better compatibility and integration are required to speed up data exchanges.

• Functional divisions within ASGs must be removed to achieve more collective strategizing.

• Support for faculty-wide research programmes must be improved.

• The quality and standards of staff performance (via student, peer, and line-manager feedback) must be measured against volume (load levels) and responsibilities (actions).

There are eleven systemic success factors in this list alone (without including the other elements from Figure 6). This is in excess of Miller’s 7±2 (Miller, 1956) simultaneously manageable factors, so an enriched context pitched at a lower level is required. Lower-pitched operational models were therefore constructed using PrOH modelling’s enrichment steps. Each of these operational models was ‘storyboarded’ to effectively present to and discuss systemic success factors with a school-wide audience. Figures 7a to 7e each show a scene from the storyboard about ‘CSS Making CSS Place Offers’.
Figure 7a shows the core process statement, and bullet points for each scene explain the systemic success factors. Each scene contains between 7±2 systemic factors, which according to Miller (1956), is a manageable sum for meaningful debate by a group of stakeholders.

Figure 7a: CSS Make CSS Place Offers – Core Process Statement – Scene 1

It should be noted that ‘bubbles’ that have already been shown in a storyboard are faded out to give context to new parts of the model, and it is not necessary to read these again in the foreground of the new scene. This was a useful storyboarding technique and a key feature of PrOH modelling.
- Each entry route should have a responsible and an assistive administrator
- central University departments need some advanced notice of short courses
- response time for applications (PG) is too slow – students may go elsewhere

Figure 7b: CSS Make CSS Place Offers – Scene 2

- Timetables need to be put together as soon as possible as information becomes available
- New modules / programmes should be proposed on a sound business case
  - assessment must be standardised & manageable by given resources
  - standardise delivery
  - class sizes suitable
  - reliable/skilled admin support
- Marketing effectiveness of website crucial
  - reliable/skilled tech support
- elective for new students
  - option choices cause scheduling challenges

Figure 7c: CSS Make CSS Place Offers – Scene 3
• CSS and combined honours
  • block timetabling approach is restrictive as most CSS modules are offered
  • a better ‘PG student-customer’ experience needed
  • what is the research strategy of the school?

Figure 7d: CSS make CSS Place Offers - Scene 4

Figure 7e: CSS make CSS Place Offers – Complete Model – Scene 5
The issues in Figures 7a to 7e are strategically important because they affect the recruitment of new students to the university. Some changes had already been made, but further changes were still required, such as improvements to the delivery of programmes and extracurricular activities and support. Planned change, rather than reactive and uncoordinated change, had to be made (Tsoukas and Chia, 2002).

Figures 8a to 8f depict scenes from the ‘CSS Complete the CSS Educational Experience’ PrOH model. The issues in these models are equally important because once students are recruited into the university, the educational service experience they receive determines their retention and progression. If their perceived quality levels do not match the expectations set by the CSS marketing materials, then dissatisfaction is likely to detrimentally affect the university’s reputation and affect further recruitment.

Figure 8a: CSS Complete the CSS Educational Experience – Core Process Statement – Scene 1

- clarification between support and academic roles is required
- meeting attendance / giving accountable actions
- dedicated support for ASGs
- create ‘time-tabler’ as a new role
- create ‘admin / mkt officer’ as a new role
• Updated (CD/online) student handbooks are crucial
• returning students to give option choices earlier
  • streaming / restriction of choices
• Academics need to be kept updated about the
  recruitment situation by the admin team

Figure 8b: CSS Complete the CSS Educational Experience – Scene 2

• Late changes to timetables need to be minimised:
  • e.g. make room bookings after nos. confirmed
  • Prioritise criteria: student, staff, school objectives
  • Group away days?
• future: electronic students' information system –
  timetabling link crucial
• Online (PG) application process crucial
• Individual timetables to be online
  • 'Timetabler' needs to be a dedicated / open resource

Figure 8c: CSS Complete the CSS Educational Experience – Scene 3
- Formative feedback for students needs to be quicker and richer
  - increase progression/satisfaction rates
- Type of assessments should be appropriate (e.g., spoken is preferred)
- registry interface needs to be improved for assessment requirements

Figure 8d: CSS Complete the CSS Educational Experience – Scene 4

- small numbers – process undefined
  - future – support and focus?
- how to attract correct students
  - how develop research culture?

Figure 8e: CSS Complete the CSS Educational Experience – Scene 5
Figure 8f: CSS Complete the CSS Educational Experience – Complete Model –

Scene 6

The above storyboards were presented to an open ‘town hall’ forum of people within the school and to representatives of other critically related departments. After this open consultation, some recommendations were made; they are detailed below.

DISCUSSION OF PRACTICAL IMPROVEMENTS USING THE 4V’s

THEORETICAL FRAMEWORK

Because new departments and new academics joined the CSS, and because the number of
students increased, an overall increase of throughput was placed on the school’s incumbent administrative processes during 2012–14. These processes reached a critical breaking point because they lacked efficacy and efficiency and were under- and inappropriately resourced. This action research project highlighted many of the systemic success factors (as shown in Figures 6, 7a-7e, and 8a-8f above) and recommended some corrective actions. These are discussed below using the 4Vs (Variety, Variation over Time, Volume, and Visibility) theoretical conceptual model discussed at the beginning of this paper. The 4V’s theoretical concept provides a useful and relevant typology of operations management characteristics (Slack et al., 2004, pp.20-25).

**Variety – of Educational Services Delivered**

The scenario depicted by Figure 6 illustrates overly complex administrative processes within the CSS and their unclear relationship to other schools and departments in the University. The CSS offered undergraduate programmes in eight different subject areas a figure that was set to grow further (see Figure 7a). The CSS also offered two suites of interrelated postgraduate programmes in Teaching English to Speakers of Other Languages (TESOL) and Applied Linguistics, plus five other programmes in TESOL, Translation, and International Relations. It also offered a doctoral research programme.

The nature of language subjects is unlike some other subject areas such as law or business studies because economies of scale cannot be achieved by allowing students in similar programmes to share common modules (especially in their first two years). This is because, in comparison to students of other subjects, language students require more time
for formative feedback for effective written, listening, and verbal development (see Figure 8d). As a result, steps were taken to give students more opportunities for formative feedback in shorter lead times to possibly increase their progression and satisfaction rates (as per McCall, 2007).

Prior to the CSS taking in departments from another school, it was believed that the administrative processes would be scalable and able to accommodate an increasing number of students. This, however, proved not to be the case, because it became evident that each different entry route required different dedicated administrative support positions (see Figure 7b). As a result, additional clerical posts had to be created to support each ASG.

The CSS had aimed to increase recruitment by offering a variety of programmes by customising courses for ever smaller cohorts of students. This was probably due to excessive ‘cloisterism’ prevailing over rational, planned, and productive ‘collegialism’ (Harvey, 1994). Such activities had hitherto been unmonitored, resulting in a total of forty-seven different programme routes being offered in the academic year 2014–15, nineteen of them hosting fewer than three students (see Figure 7d). The vast array of programme routes being offered to first-year undergraduates was the main contributing factor for this over-provision of variety. This modus operandi was fast creeping towards an unplanned mass customisation or personalisation of the university experience. If this unplanned-for turn of events had become compounded by the introduction of even more totally new programmes, an unsustainable burden would have been placed on the already overstressed and overstretched resources of CSS. Out of necessity, recommendations to
rationalise these choices into fewer degree streams (containing a bunched module choice) meant that demand could be met more easily without sacrificing the quality of academic content. Henceforth, it was decided that further programme and or module combinations had to be proposed on a sound business rationale rather than on personal requests of students or on academic tendencies to cloister.

**Variation – of Delivery Requirements over an Academic Year**

Systemic success factors were also related to student and staff timetabling and the management of resource demand variability over the academic year (e.g. enrolling students, managing the induction week, and scheduling examinations). To complicate matters, the university allocated dedicated timetabling slots for undergraduate students taking CSS subjects in combination with another school’s timetable (see Figure 7c). This greatly reduced sequencing and scheduling possibilities for the CSS. Negotiations on this subject with the university’s central planning department resulted in more slots being granted, which gave CSS greater scheduling flexibility.

The CSS also houses the university’s English Language Support Unit (Figure 7a – ‘English Support’), which runs many short courses and workshops to support non-English speaking students and requires timetabling independently from the term-based university-wide timetabling system. These typically run during summer recess and require large allocations of staff resources for short durations. The solution for this in the past had been to rely on sessional (temporary) staff. The relationship of permanent members of staff with these temporary, and critical human resources, was poor and had to
improve because these sessional staff felt excluded from other school activities and the culture of CSS. Thus, more inclusive and engaging practices needed to be adopted.

Likewise, assessment procedures had become inefficient (see Figure 8d), and it was clear that not enough consideration was being given to the load variation over the CSS’s academic year. As a result, resources again became overstretched during critical periods. The resulting recommendation was to clearly identify a dedicated person and role responsible for timetable scheduling and to have another supporting person fully trained and able to cover critical peak-capacity periods.

**Volume – of Teaching Delivered**

It was an ongoing aim of the CSS to increase the volume of enrolled students while maintaining student quality and a financially sustainable school. Successful admissions and marketing were crucial to achieving this and were therefore another systemic success factor that had to be improved (c.f. Figure 7d). Because the CSS website was the main marketing tool for recruitment and increasing the volume of students, its design (i.e. look, copy, and interaction) had to primarily appeal to potential postgraduate and research degree entrants as well as secondarily appeal to high volumes of undergraduate students. This was because the average postgraduate student was generally more transient, international, demanding and had a greater choice of alternative courses to choose from in comparison to the average undergraduate student. Therefore, it was decided that another new senior role should be created that would be dedicated to online marketing, social media, web authoring, and the administration of admissions, with the intention of
increasing the professionalism of information provision, and student on-boarding processing capabilities in the CSS. Therefore, a major redesign of the CSS website was embarked upon. These changes were aimed at increasing student volumes in a planned way in consultation with the deliverers of professional academic support services so that enough teaching capacity was available to be able to deliver quality services to greater numbers of students while being financially sustainable.

These dramatic recent changes to teaching in the CSS meant that research activities in general and the formulation of an associated planned research strategy in particular had taken something of a back seat (see Figure 8e). It was also therefore recommended that additional administrative and financial resources be provided to boost the research culture of the school.

Visibility – of Decisions and Progression

Another systemic success factor was the considerable overlap between the responsibilities and duties of the CSS executive officer and business manager roles in the CSS. Both posts were at the same grade, but neither person had overall responsibility for administration (and administrative staff), or overall strategic planning for the CSS as a whole. As Figure 8a shows, this research highlighted that both roles should be amalgamated into one, thereby providing clearer administrative leadership with a better understanding of all the school’s high-level requirements relating to teaching and a more visible line of reporting for administrative staff. By creating this new role (a ‘school administrative officer’), the improvement team believed that responsibilities for
administrators will be clarified, and increased visibility of information via the better use of existing online information and communication technologies (ICTs), particularly those for student progression and staff timetabling, can be achieved.

Job descriptions of all other administrative staff were reviewed, redefined, and professionalised to ensure that core support processes associated with critical events in the CSS administration were serviced efficiently and effectively. For example, supporting research students and room bookings had been the responsibility of one post, whereas supporting research staff and quality assurance had been that of another. Both research-related tasks were brought together into a single role and staffed by multiple people using the same databases. Additionally, responsibility for room bookings was moved to a timetabling role, and a new quality assurance role was created as a new dedicated position. These changes all improved the visibility of decision-making in the school.

Figures 8b and 8c highlight the increasing importance of online ICT in academia today, including the many processes associated with timetabling, students’ data, examination and course information. However, despite the CSS’ internal use of ICT, the ICT link between it and other university departments still needed further improvement. Initial recommendations to improve the link between the CSS and university registry were also made to improve the efficiency of enrolment and assessments. For instance, the timetabling would now be released in partial and provisional states to allow enough time for consideration by staff.

Broadly, this case shows that higher education organisations need to plan more
strategically and be as good at data and knowledge processing as they are at student processing. The 4V’s model was used to explain changes that have occurred in CSS in the duration of this action research. The following changes were observable: (i) Unplanned student volumes initially increased from 2012 to 2014 and led to too much organisational complexity and cost. (ii) Corrective actions took place during 2015–16, reducing cost and complexity in educational service delivery and in CSS’s organisation. (iii) Future directions lie in a conscious decision to go with either (a) high volume and lower variety value-based strategy associated with relatively low visibility and smoothed variation or (b) a higher variety and lower volume premium-priced strategy with greater visibility and unsmoothed variation. Going for both options (‘a’ and ‘b’) is not considered possible by the 4V’s concept. The 4V’s concept also advises against high volumes and high variety because it leads to overly complex and high costs, and it advises against low volumes and low variety because it is unlikely that sustained delivery is possible. Figure 9 shows these transitionary paths, where between 2012 and 2014, delivery had started to move away from the best fit line and then return towards it as a result of planned changes made in 2015–2016.
SUMMARY

This CSS case study demonstrated the usefulness of the PrOH modelling methodology as a method of enquiry and systems analysis. The 4V’s typology of operations management was useful, as a theoretical concept, in this professional service environment in the public sector. Previously, these had mostly been used in the private sector for engineering and manufacturing activities. This study also showed how PrOH modelling can be used in abductive rationalisation against conceptual frameworks, such as the 4V’s model. This stimulated new thinking about roles and tasks in processes (Rytmeister, 2009) and how they can be merged through abstracted thinking or rethought though enriched understanding.
Together, collective decision-making and action-taking moved CSS towards a ‘collective consciousness’ that enabled frontline staff and the management team to view themselves differently (as per Hodgkinson and Brown, 2003). The key to doing this was effective individual and group learning ‘in’ action, reflection ‘on’ action, and reflection ‘for’ action, as embodied in PrOH modelling and other leading systems intervention approaches (e.g. Revan, 1982; Kolb, 1984; Senge, 1990). In this case, the top-down ‘tactical’ modelling view was more formal, overt, and management led, whereas the bottom-up view was more latent and heartfelt. Bringing both views together increased the novelty of action taking, the credibility and confidence of all staff, and the relevance of actions taken to exogenous market forces and political changes. For frontline staff, this diminished scepticism and suspicion of the senior management team’s motives, and for the senior management team, it improved the overall collegiality of the school. This meant that changes were more readily accepted and easier to implement.

PrOH modelling was used in this case to clarify the positive and negative effects of change processes and facilitate transitional recommendations for improvements. PrOH modelling’s holistic properties were particularly useful to show where new roles should be created (e.g. new marketing and admissions roles); show where specific tasks and roles would be better off consolidated (e.g. research and quality activities and executive officer and business manager roles); help with improving continuous flow (e.g. more connectivity between online ICT); suggest more suitable division or fragmentation of roles (e.g. dedicated administrators for each ASG, better use of sessional staff, and a dedicated role for managing assessments); and show where to add further resources to the
process (e.g. to help level loads and deal with lumpy demand patterns). These systemic insights were made possible by simultaneously considering the tactically pitched CSS model and the lower-pitched operational models – provided collectively by the set of three holarchical PrOH models – which catalysed change recommendations from detailed examination of operational practices and the creation of new strategic School-level visions. We observed in this case that simultaneous views were necessary and useful to model and that they could be successfully modelled using the PrOH modelling methodology.

Because this case study led to a significant redefinition of roles and tasks, we can see that the perceived misalignment between academic requirements and administrative support was substantiated and remedied. Additionally, by collectively redefining the school’s systemic success factors, it was possible to fundamentally change reporting structures, power, and authority and enhance the culture of the CSS. This was achieved by using a holistically composed multi-pitched set of systems thinking models produced using the PrOH modelling methodology.

In summary, this holistic thinking

- Raised awareness of systemic school-level issues and reduced inertia to create change at the strategic, tactical, and operational levels
- Freed up academics from administrative duties so that they could devote more time to teaching and research activities while simultaneously reducing bureaucracy
- Provided clarification of core processes, roles, and responsibilities so that a customer-facing process focus was adopted and reporting structures were
unequivocal

- Made sure that the support structure met the new academic requirements
- Ensured that critical events in the academic year were fully resourced along with a contingency to deal with any unforeseen circumstances
- Increased professionalism and collectivism for teaching and professional staff, allowing discretionary behaviour to flourish.

These recommendations started to come into effect during the 2017–18 academic year and will help to accomplish further transitions in CSS as the United Kingdom’s new Teaching Excellence Framework (HEFCE, 2017) begins to have increasing effect. It is believed that this novel systems approach can be used in other universities across the world to help reduce waste and define and redefine roles, responsibilities, and processes.

The processes focused on in this study (e.g. student recruitment and student education) are likely to be vital to any higher education organisation. This is extremely relevant in light of the current economic, regulatory, internationalisation and other technical changes happening in higher education (Browne, 2010), particularly in subjects such as modern languages that need high staff-to-student ratios and are less scalable than other subjects.

Changes as seen in this case study are a careful balancing act to ensure changes in market conditions can be met without a loss in moral legitimacy, core purposes, and values of the school.

In early 2017, the head of the CSS stated that this exercise had ‘… really helped us to understand our processes, clarify our core mission and know what our peripheral activities were. It showed us how we could build on our strengths to deliver courses
efficiently, effectively, and most importantly with financial sustainability; which in light of recent budgetary cuts and regulatory changes, by the UK Government, is our primary concern. What was particularly interesting is that by using the “4 V’s framework” as a theoretical framework we found that those in strategic positions were less directly affected by “V-changes” than those who were in operational positions – who had the least authority and least time to respond to them and change them …’. This is a phenomenon that the author refers to as the 4V’s latent whipping effect: ‘… Therefore we should always take a holistic view of our school at every level and at every stage of our delivery; and by doing so we will be in a stronger position to tackle upcoming challenges. We would use these techniques again in the future to reassess our constantly changing situation’. The changes made will hopefully make the delivery of modern foreign languages more resilient, efficient, and effective and increase the percentage of native English-speaking students working in non-Anglophonic countries.

This action research activity was considered by those involved to have a positive and instrumental effect on instigating change. It was probably successful partly because it took the view that ‘…the future of [a] university is contingent on how well internal adaption processes to external changes are implemented…’ (Sporn, 1995, p.72). This research was limited because it was based on only one UK university. It cannot claim beyond doubt that these changes were solely and deterministically brought about by the PrOH modelling exercise.

More work can be done to further enrich and define tasks and roles as new systemic success factors become apparent. More highly pitched abstractions can also be used to set
the CSS tactical model and systemic success factors into a strategic university-wide model (e.g. for the formation of multi-school colleges). The PrOH modelling methodology can also be used in other types of organisations to instigate change.

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REFERENCES


Cambridge, MA.


